

FORM PTO-1390 (REV. 10-2003)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER 2261.0030000
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5 To Be Assigned <b>40/509124</b> )
INTERNATIONAL APPLICATION NO. PCT/JP03/03736	INTERNATIONAL FILING DATE 26 March 2003	PRIORITY DATE CLAIMED 29 March 2002	
TITLE OF INVENTION Novel Polyesterified Block Copolymer And Process For Producing The Same			
APPLICANT(S) FOR DO/EO/US Takashi SAWAGUCHI			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input checked="" type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p><b>Items 11 to 20 below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>1. Authorization to Treat a Reply as Incorporating an Extension of Time Under 37 C.F.R. 1.136(a)(3);</p> <p>2. Abstract on a separate sheet;</p> <p>3. PTO-2038 Credit Card Payment Form;</p> <p>4. Copy of Published International Appl. No. PCT/JP03/03736, Int'l. Publication No. WO 03/082957; and</p> <p>5. Two (2) Return Postcards.</p>			

<b>10/509124</b> U.S. APPLICATION NO. (if any) <b>10/509124</b> INTERNATIONAL APPLICATION NO. To Be Assigned <b>PCT/JP03/03736</b>		ATTORNEY'S DOCKET NUMBER <b>2261.0030000</b>								
21. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO. .... <b>\$1080.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$920.00</b>  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$770.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$730.00</b>  International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b>  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>			<b>CALCULATIONS PTO USE ONLY</b>          <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; text-align: right;"><b>\$</b></td> <td style="width: 20%; text-align: center;"><b>920.00</b></td> <td style="width: 20%;"></td> </tr> </table>		<b>\$</b>	<b>920.00</b>				
<b>\$</b>	<b>920.00</b>									
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).			<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; text-align: right;"><b>\$</b></td> <td style="width: 20%; text-align: center;"><b>130.00</b></td> <td style="width: 20%;"></td> </tr> </table>		<b>\$</b>	<b>130.00</b>				
<b>\$</b>	<b>130.00</b>									
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>	<b>\$</b>						
Total claims	5 20	0	x <b>\$18.00</b>	\$ 0						
Independent claims	4 3	1	x <b>\$86.00</b>	\$ 86.00						
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ <b>\$290.00</b>	\$						
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 1,136.00						
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; text-align: right;"><b>\$</b></td> <td style="width: 20%; text-align: center;"><b>0</b></td> <td style="width: 20%;"></td> </tr> </table>	<b>\$</b>	<b>0</b>				
<b>\$</b>	<b>0</b>									
<b>SUBTOTAL =</b>				\$ 1,136.00						
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; text-align: right;"><b>\$</b></td> <td style="width: 20%; text-align: center;"><b>0</b></td> <td style="width: 20%;"></td> </tr> </table>	<b>\$</b>	<b>0</b>				
<b>\$</b>	<b>0</b>									
<b>TOTAL NATIONAL FEE =</b>				\$ 1,136.00						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property +				<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; text-align: right;"><b>\$</b></td> <td style="width: 20%; text-align: center;"><b>0</b></td> <td style="width: 20%;"></td> </tr> </table>	<b>\$</b>	<b>0</b>				
<b>\$</b>	<b>0</b>									
<b>TOTAL FEES ENCLOSED =</b>				\$ 1,136.00						
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; text-align: right;"><b>Amount to be refunded:</b></td> <td style="width: 20%; text-align: center;"><b>\$</b></td> <td style="width: 20%;"></td> </tr> <tr> <td style="text-align: right;"><b>charged:</b></td> <td style="text-align: center;"><b>\$</b></td> <td></td> </tr> </table>				<b>Amount to be refunded:</b>	<b>\$</b>		<b>charged:</b>	<b>\$</b>		
<b>Amount to be refunded:</b>	<b>\$</b>									
<b>charged:</b>	<b>\$</b>									
a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0036</u> . A duplicate copy of this sheet is enclosed.  d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card          information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.										
<b>NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a)          or (b)) must be filed and granted to restore the application to pending status.</b>										
SEND ALL CORRESPONDENCE TO:  <b>CUSTOMER NUMBER 26111</b>  Sterne, Kessler, Goldstein & Fox P.L.L.C.										
SIGNATURE _____  NAME <u>Edward J. Kessler</u>				REGISTRATION NUMBER <u>25,688</u>						

10/509124

DT09 Rec'd PCT/PTO 2 8 SEP 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Takashi SAWAGUCHI

Appl. No.: *To Be Assigned*

Filed: September 28, 2004

For: **Novel Polyesterified Block  
Copolymer and Process for  
Producing the Same**

Confirmation No.: *To Be Assigned*

Art Unit: *To Be Assigned*

Examiner: *To Be Assigned*

Atty. Docket: 2261.0030000

**Authorization to Treat a Reply as Incorporating an  
Extension of Time Under 37 C.F.R. § 1.136(a)(3)**

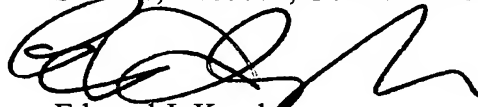
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

The U.S. Patent and Trademark Office is hereby authorized to treat any concurrent or future reply that requires a petition for an extension of time under this paragraph for its timely submission, as incorporating a petition for extension of time for the appropriate length of time. The U.S. Patent and Trademark Office is hereby authorized to charge all required extension of time fees to our Deposit Account No. 19-0036, if such fees are not otherwise provided for in such reply.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Edward J. Kessler  
Attorney for Applicant  
Registration No. 25,688

Date: 9, 28, 04

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